SPECTRUM SECURITIES LIMITED

TREC-HOLDER: PAKISTAN STOCK EXCHANGE LIMITED

4.4.1 NET CAPITAL BALANCE AS ON 30-11-2017

CURRENT ASSET

01. CASH & BANK BALANCES		
Cash in Hand	222,375	
Cash at Bank - Company's Account(s)	72,108,417	
Cash at Bank - Client's Account(s)	517,048	
Margin Deposit(s)	25,358,730	
		98,206,570
02. TRADE RECEIVABLES		
Receiveable From Clients	10,520,060	
Less: Overdue for more than 14 days	(7,259,859)	
	3,260,200	
Other Trade Receivables		
		3,260,200
03. SECURITIES PURCHASE FOR CLIENTS		
Securities Purchase for Client	2,580,395	
		2,580,395
04. INVESTMENT IN LISTED SECURITIES		
Securities on the name of TREC Holder	7,307,230	
Less: 15% Discount	(1,096,085)	
		6,211,145
TOTAL CURRENT ASSETS		**110,258,311**

CURRENT LIABLITIE

01. TRADE PAYABLLES		
Payables to Clients	24,340,262	
Less: Overdue for more than 30 days	(14,500,879)	
		9,839,383
02. OTHER LIABLITIES		
Trade Payables Overdue for more than 30 days	14,500,879	
Short Term Running Finance	0	
Other Payables	2,523,061	
Client's Bank Account(s)	0	
		17,023,940
TOTAL CURRENT LIABLITIES		**26,863,323**
NET CAPITAL		**83,394,988**

4.4.1 NET CAPITAL BALANCE AS ON 30-11-2017

SUPPORTING DATA

CURRENTASSETS			
CASH IN HAND			
0906001	CASH IN HAND	211,295	
0906002	PETTY CASH HEAD OFFICE	11,080	
		222,375	
		222,375	
CASH AT BANK - COMPANY's ACCOUI			
0905009	ASKARI BANK KSE BR (A/C # 577982)	9,300	
0905002	HMBL KSE BR (A/C # 120977)	324	
0905001	MCB BANK KSE BR (MEMBER A/C # 10038971)	813,565	
0905005	NIB BANK KSE BR (A/C # 15038257)	25,606	
0905003	JS BANK KSE BR MAIN (A/C # 579962)	71,255,842	
0905007	MEEZAN BANK KSE (A/C # 101672839)	1,005	
0905006	DIB KSE BR (A/C # 108-0250088001)	2,775	
		72,108,417	
		72,108,417	
CASH AT BANK - CLIENT's ACCOUNT(			
0905008	JS BANK KSE BR CLIENT (A/C # 683584)	400,459	
0905010	ASKARI BANK KSE BR CLIENT (A/C # 577960)	9,300	
0905004	MCB BANK KSE BR (CLIENT A/C # 1000857)	107,289	
		517,048	
		517,048	
MARGIN DEPOSIT(S)			
0903002	EXPOSURE DEPOSIT (T+2)	11,000,000	
0903001	EXPOSURE DEPOSIT FUTURE	12,500,000	
0903007	LOSS FUTURE DEPOSIT	1,358,730	
0903009	EXPOSURE & DEPOSIT MTS	500,000	
		25,358,730	
		25,358,730	
CURRENTLIABLITIES			
OTHER PAYABLES			
0902003	CLEARING HOUSE (FUTURE)	1,718,050	
0404009	INCOME TAX PAYABLE ON SERVICES		3,215
0405001	SST ON BROKERAGE SERVICES		175,995
0407001	CVT ON PURCHASE		3,996
0403014	VEHICLES,FUEL,MAINTENANCE PAYABLE		47,800
0404022	E.O.B.I CHG PAYABLE		59,514
0404023	AIR CONDITIONS PAYABLE		73,984
0404025	DIB CAR LOAN PAYABLE		741,944
0403016	POSTAGE & COURIER PAYABLE		1,281
0404029	SHORT TERM LOAN AHMED.NABEEL (B)		2,500,000
0403013	SST PAYABLE		269

4.4.1 NET CAPITAL BALANCE AS ON 30-11-2017

SUPPORTING DATA

CURRENTLIABLITIES

OTHER PAYABLES

0403003	TELE PHONE BILL PAYABLE		41,590
0403004	ELECTRICITY BILL PAYABLE		15,221
0403008	CDC CHG PAYABLE		36,977
0401001	DEALERS/AGENT BALANCES		539,325
		1,718,050	4,241,111
			2,523,061